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PATENT Attorney Docket No. 226465 Client Reference No. A 100 630 g

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCHAUER et al.

Group Art Unit: 1762

Application No. 10/775,889

Examiner: Unassigned

Filed: 02/09/04

For: METHOD OF COATING THE SURFACE

OF SUBSTRATES

### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

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	37 CF	(a), (b), (c) or (d) above, but before the mailing date of a final action under R 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that vise closes prosecution in the application, and includes <i>one</i> of:
		the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).
	$\Box$	the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).
	under and o 37 CF	the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance 37 CFR 1.311, or an action that otherwise closes prosecution in the application, n or before payment of the issue fee, and includes the Statement under R 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as th in 37 CFR 1.17(p) (see "Fees" below).
	payme contain 37 CF \$180 a NOTE: May 29	the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before ent of the issue fee, and within thirty days of receiving each item of information ned in the Information Disclosure Statement, and includes the Statement under R 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of as set forth in 37 CFR 1.17(p) (see "Fees" below).  This is for original applications except applications for a design patent, filed on or after 2000, wherein a paper containing only an Information Disclosure Statement in compliance CFR 1.97 and 1.98 is being filed.
Copie	s of the	References
	Copies herews	s of all of the references listed on the enclosed Form 1449 are enclosed ith.
$\boxtimes$	patent enclos	patent application was filed after June 30, 2003. Accordingly, copies of U.S. is and patent applications that are listed on the accompanying Form 1449 are not ed herewith (see Official Gazette Notice of August 5, 2003). Copies of other notes identified on the accompanying Form 1449 are enclosed herewith.
	relevation action degree	ned to each reference not in the English language is a concise explanation of the nce pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or glish-language abstract, or an English-language version of the search report or by a foreign patent office in a counterpart foreign application indicating the of relevance found by the foreign office is being submitted in lieu of a concise nation of the relevance pursuant to 37 CFR 1.98(a)(3).
	A cop	y of the foreign search report is enclosed herewith.
	parent furnisl	eferences listed on the enclosed Form 1449 were previously identified in the application(s) of the present application, and copies of the references were need at that time. Accordingly, additional copies of the references are not tted herewith, so as not to burden the file with duplicate copies of references.

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The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

	U.S. APPLI	CATIONS	S	tatus (check o	ne)					
U.	S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED					
1.										
2.		•								
3.										
State	ment under 37 CFR	1.97(e)								
	The <b>undersigned</b> hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.									
	The <b>undersigned</b> hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.									
State	ment under 37 CFR	1.704(d)								
	The <b>undersigned</b> hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.									
Fees										
	No fee is owed by the applicant(s). The IDS Fee of \$180 under 37 CFR 1.17(p) is enclosed herewith.									
Metho	od of Payment of Fe	es								
		in the amount of \$ count No. 12-1216 in the is enclosed for that pur		. (A dı	uplicate copy of					

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# **Authorization to Charge Additional Fees**

 $\boxtimes$ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

## **Instructions as to Overpayment**

$\boxtimes$	Credit Account No.	12-1216.
	Refund	

Pamela J. Ruschau, Reg. No. 34,242 LEYDIG, VOIT & MAYER, LTD. Two Prudential Plaza, Suite 4900 180 North Stetson Avenue Chicago, Illinois 60601-6780 (312) 616-5600 (telephone)

(312) 616-5700 (facsimile)

Date: October 27, 2004

#### **CERTIFICATE OF MAILING**

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 10/27/04

Elgaliets J. Euch

IDS (Revised 5/21/03)

Please type a plus sign (+) inside this box	OIPE			
	May a 4		Complete if Known	
Substitute for form 1449A/B/PTO	( NOV 0 1 2004 (2)	Application Number	10/775,889	
SUDDI EMEN	TÃ B	Filing Date	02/09/04	
SUPPLEMEN	CCI COMO	First Named Inventor	SCHAUER	
	31.14311 <del>11</del>			

STATEMENT BY APPLICANT

Sheet

(Use as many sheets as necessary)

Application Number	10/775,889
Filing Date	02/09/04
First Named Inventor	SCHAUER
Group Art Unit	1762
Examiner Name	Unassigned
Attorney Docket Number	226465

. U.S. PATENT DOCUMENTS								
		U.S. Patent Documen		nent				
Examiner Initials	Doc. No.	Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate		
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		Foreign Patent Document					Translation	
Examiner Initials	Doc. No.	Office	Application or Patent Number	Kind Code	Name of Patentee or Applicant	Date of Publication	Yes	No*+
	BS	GB	686,269		CIBA Limited	01/21/53		
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	OTHER - NON PATENT LITERATURE DOCUMENTS						
Examiner	er Doc. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item						
Initials	No.	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Yes	No**			

Examiner Signature	Date Considered	

<sup>\*</sup> A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).